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PATENT
7-11-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/500,624
Filing Date: February 9, 2000
Applicant: Dean Amburn
Group Art Unit: 3628
Examiner: Harish T. Dass
Title: Method And Apparatus For Automated Trading of
Equity Securities Using A Real Time Data Analysis
Attorney Docket: 2425-000001

Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICANT'S INTERVIEW SUMMARY

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Sir:

An in-person interview regarding the above-cited application took place on June 3, 2003. During the interview, Applicant and Examiners Harish T. Dass and Hyung S. Sough discussed the reference (U.S. Patent No. 6,408,282) cited in the Office Action mailed November 29, 2002. Further discussions centered around references cited in a supplemental Information Disclosure Statement, specifically including Chapter 14 of the CyBerTrader reference. Applicant pointed out limitations in currently pending claims 30-46 that Applicant believes distinguishes the references from the currently pending claims. The Examiners indicated that they did not specifically disagree or agree with

Applicant's position. The Examiners indicated that they would further consider these issues.

Respectfully submitted,

Dated: _____

7/2/03

By _____



Dean W. Amburn
Reg. No. 46,517

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3628

HDP/SB/21 based on PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/500,624
Filing Date	February 9, 2000
First Named Inventor	Dean Amburn
Group Art Unit	3628
Examiner Name	Harish T. Dass
Attorney Docket Number	2425-000001

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">Return receipt postcard.</p>
Remarks		The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

JUL 09 2003

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	Dean W. Amburn	Reg. No.	46517
Signature					
Date	7/2/03				

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CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

Typed or printed name	DEAN W. AMBURN		
Signature		Date	7/2/03